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Date of Deposit: January 31, 2002

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Receipt

Attorney Docket No. 15966-776CIP  
(CURA-276CIP)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Gerlach, *et al.*

ASSIGNEE: CuraGen Corporation

SERIAL NUMBER: 09/898,570 EXAMINER: Not yet assigned

FILING DATE: July 3, 2001 ART UNIT: 1646

FOR: NOVEL HUMAN PROTEINS, POLYNUCLEOTIDES ENCODING THEM AND METHODS  
OF USING THE SAME

Commissioner for Patents  
Washington, D.C. 20231

TRANSMITTAL LETTER

Transmitted herewith for filing in the present application are the following documents:

- Request for Corrected Filing Receipt (1 pg);
- Updated Filing Receipt with changes marked in red (2 pgs.);
- Preliminary Amendment (4 pgs); and
- Return Postcard

No fee is believed to be due at this time. However, the Commissioner is hereby authorized to charge any additional fees that may be due to Deposit Account No. 50-0311, Reference No. 15966-776CIP (CURA-276CIP). A duplicate copy of this transmittal letter is enclosed.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at 617/542-6000, Boston, Massachusetts.

Respectfully submitted,

*Ivor R. Elrifi*  
*Naomi S. Biswas*  
Ivor R. Elrifi, Reg. No. 39,529  
Naomi S. Biswas, Reg. No. 38,384  
Attorney/Agent for Applicant  
c/o MINTZ, LEVIN, COHN, FERRIS  
GLOVSKY AND POPEO, P.C.

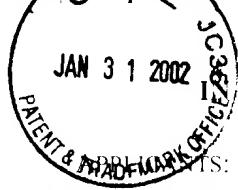
One Financial Center  
Boston, Massachusetts 02111  
Tel: (617) 542-6000  
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DATE: January 31, 2002

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THE UNITED STATES PATENT AND TRADEMARK OFFICE

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FOR: NOVEL HUMAN PROTEINS, POLYNUCLEOTIDES ENCODING THEM AND METHODS OF USING THE SAME

Office of Initial Patent Examination  
Customer Service Center  
Commissioner for Patents  
Washington, D.C. 20231

**REQUEST FOR CORRECTED FILING RECEIPT**

1. Applicant requests a Corrected Filing Receipt for the above-mentioned provisional patent application. Applicant encloses a copy of the Filing Receipt with the correction noted thereon. Issuance of a corrected filing receipt is respectfully requested.
2. There are errors with respect to the following data:

*Error in*

*Correct data*

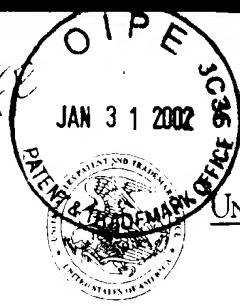
1. IND CLAIMS	[5] 8
2. Priority Data	[ ] AND CLAIMS BENEFIT OF 09/839,446 04/19/2001

3.  Although Applicant believes that no fee is due in connection with this filing, the Commissioner is authorized to charge any fees that may be due to Deposit Account No. 50-0311, Ref. No. 15966-776CIP (CURA-276CIP).

Respectfully submitted,

Dated: January 31, 2002

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/898,570 ✓	07/03/2001 ✓	1646	1058	15966-776 CIP (CURA- 276 C)		82	[ 5 ] 8

## CONFIRMATION NO. 2892

## UPDATED FILING RECEIPT

Ivor R. Elrifi, Esq.  
Mintz, Levin, Cohn, Ferris,  
Glovsky and Popeo, P.C.  
One Financial Center  
Boston, MA 02111



\*OC00000007206828\*

Date Mailed: 12/18/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## ✓Applicant(s)

Valerie L. Gerlach, Branford, CT;  
Karen Ellerman, Branford, CT;  
John R. MacDougall, Hamden, CT;  
Glennada Smithson, Guilford, CT;

## ✓Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/198,293 04/19/2000  
AND CLAIMS BENEFIT OF 60/198,645 04/20/2000  
AND CLAIMS BENEFIT OF 60/210,809 06/09/2000  
AND CLAIMS BENEFIT OF 60/199,476 04/25/2000  
AND CLAIMS BENEFIT OF 60/200,025 04/26/2000  
AND CLAIMS BENEFIT OF 60/224,610 08/11/2000  
AND CLAIMS BENEFIT OF 60/200,024 04/26/2000  
AND CLAIMS BENEFIT OF 60/199,880 04/26/2000  
AND CLAIMS BENEFIT OF 60/218,591 07/17/2000  
AND CLAIMS BENEFIT OF 60/271,814 02/27/2001  
AND CLAIMS BENEFIT OF 60/215,855 07/03/2000

(\*) Data inconsistent with PTO records.

AND CLAIMS BENEFIT OF  
60/198,293, 04/19/2000

## Foreign Applications

If Required, Foreign Filing License Granted 08/23/2001

Done By	
<input checked="" type="checkbox"/> Data Entry	<i>mrb</i>
<input type="checkbox"/> Docket Entry	_____
<input type="checkbox"/> Docket Cross Off	_____
<input type="checkbox"/> Previously Entered	_____
<input type="checkbox"/> No Docketing Req	_____
<input type="checkbox"/> ELITE	_____
<input type="checkbox"/> Annuitas	_____

**Projected Publication Date:** 03/28/2002

**Non-Publication Request:** No

**Early Publication Request:** No

**\*\* SMALL ENTITY \*\***

**Title**

Novel human proteins, polynucleotides encoding them and methods of using the same

**Preliminary Class**

530

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).